

# WCG Wealth Advisors, LLC

Doing Business As: Fides Wealth Strategies Group  
8925 W. Post Road Suite 200 | Las Vegas, NV 89148  
(800) 346-4063

## Marc A. Gallo

7676 Hazard Center Drive Suite 1050 | San Diego, CA 92108  
(619) 546-4222

**03/31/2020**

This brochure supplement provides information about Marc Gallo that supplements the WCG Wealth Advisors, LLC disclosure brochure. You should have received a copy of that brochure that describes the investment advisory services offered through WCG Wealth Advisors, LLC, an investment advisor firm. Please contact WCG Wealth Advisors, LLC at the telephone number above if you did not receive their brochure or if you have any questions about the contents of the supplement.

Additional information about Marc Gallo is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 - Educational Background and Business Experience**

This section of the brochure supplement includes the supervised person's name, age (or year of birth), formal education after high school, and business background (including an identification of the specific positions held) for the preceding five years.

Marc Gallo

Year of birth: 1974

### **Education**

The following information details your Financial Advisor's formal education. If a degree was attained, the type of the degree will be listed next to the name of the institution. If a degree is not listed, the Financial Advisor attended the institution but did not attain a degree.

San Diego State University  
1992 – 1995

### **Business Experience**

The following information details your Financial Advisor's business experience for at least the past 5 years.

WCG Wealth Advisors, LLC; Investment Advisor Representative  
11/2014 – Present

LPL Financial, LLC; Registered Representative  
11/2014 – 03/2020

New England Financial; Registered Representative  
09/2013 – 11/2014

Metlife Securities, Inc.; Investment Advisor Representative  
09/2001 – 09/2010

### **Professional Designations**

The following provides information on professional designation(s) that your Financial Advisor earned.

#### **Chartered Advisor for Senior Living (CASL)**

Designation: Chartered Advisor for Senior Living (CASL). Issuing Organization: The American College. Prerequisites/Experience Required: Meeting experience requirements for the College's CLU, ChFC, RHU, REBC, and CLF designations or advising clients on financial and/or practical matters relating to their retirement years or on issues dealing with aging for at least three of the five years preceding the awarding of the designations. Educational Requirements: Five required courses, equivalent to 15 semester credit hours.

### **Accredited Investment Fiduciary (AIF)**

Designation: Accredited Investment Fiduciary (AIF). Issuing Organization: Center for Fiduciary Studies. Prerequisites/Experience Required: None. Educational Requirements: Candidate must complete one of the following: Web-based program or Capstone program. Continuing Education: 6 hours per year.

### **Item 3 - Disciplinary Information**

This section includes any legal or disciplinary events and material to a client's or prospective client's evaluation of the supervised person.

Your financial advisor has no legal or disciplinary events required to be disclosed in response to this item. There may be items that are contained on [brokercheck.finra.org](http://brokercheck.finra.org) or [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) that you may wish to review and consider in your evaluation of your advisor's background.

### **Item 4 - Other Business Activities**

This section includes any relationship between the advisory business and the supervised person's other financial industry activities that creates a material conflict of interest with clients and describes the nature of the conflict and generally how it is addressed. If the supervised person is actively engaged in any investment-related business or occupation, including if the supervised person is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, the business relationship, if any, between the advisory business and the other business is disclosed below.

#### **Other Outside Business Activities:**

Fides Wealth Strategies Group/DBA only; Fixed insurance sales/sale of non-variable insurance.

### **Insurance Agent**

Marc Gallo is independently licensed to sell insurance (and annuity) products through WCG Insurance, LLC. When acting in this capacity, Marc Gallo will receive commissions for selling insurance (and annuity) products.

Marc Gallo may also receive other incentive awards for the recommendation/sale of (annuities and) other insurance products. The receipt of compensation and other incentive benefits may affect the judgment of Marc Gallo when recommending products to its clients. While Marc Gallo endeavors at all times to put the interest of his clients first as a part of WCG Wealth Advisor's overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest, and may affect Marc Gallo's decision making process when making recommendations.

Clients are never obligated or required to purchase insurance products from or through Marc Gallo and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

**Item 5 - Additional Compensation**

This section includes details regarding if someone who is not a client provides an economic benefit to the supervised person for providing advisory services. For purposes of this Item, economic benefits include sales awards and other prizes, but not the supervised person's regular salary, if any.

The above business associations do not provide any additional compensation other than as described in The Wealth Consulting Group's Form ADV Part 2A.

**Item 6 - Supervision**

This section explains how the firm supervises the supervised persons, including how the advice the supervised person provided to clients is monitored.

Shelley Echeverria is the Chief Compliance Officer of WCG Wealth Advisors, LLC. She is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including Marc Gallo.

Shelley Echeverria can be contacted at (602) 466-7353.